

Parameter estimation in the ancestral regression with missing parental or grandparental genotypes

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Introduction

- ❑ Animal model of Henderson-Quaas-Bulmer is a Data Generating Process with a Markovian and p.d. covariance matrix.
- ❑ Cantet et al (2017) proposed an individual based model (“ancestral regression”, AR) that is autoregressive (causal), Markovian and easy to fit, but no parameter estimation.
- ❑ Model parameters (the individual’s β_S and β_D) are *identifiable only* with information from a dense set of SNPs to estimate the sufficient statistics.
- ❑ Bayesian estimators require the distribution of β_S & β_D .
- ❑ Goal: To present a Gibbs sampler for β_S and β_D .

Ancestral regression

$$a_X = 0.5 a_S + 0.5 a_D + \beta_S (a_{SS} - a_{DS}) + \beta_D (a_{SD} - a_{DD}) + \phi_X$$

$$\beta_S = \frac{\Sigma_{X,PGP} - \Sigma_{PGP,R} \Sigma_R^{-1} \Sigma_{R,PGP}}{\Sigma_{PGP} - \Sigma_{PGP,R} \Sigma_R^{-1} \Sigma_{R,PGP}} \quad \beta_D = \frac{\Sigma_{X,MGP} - \Sigma_{MGP,R} \Sigma_R^{-1} \Sigma_{R,MGP}}{\Sigma_{MGP} - \Sigma_{MGP,R} \Sigma_R^{-1} \Sigma_{R,MGP}}$$

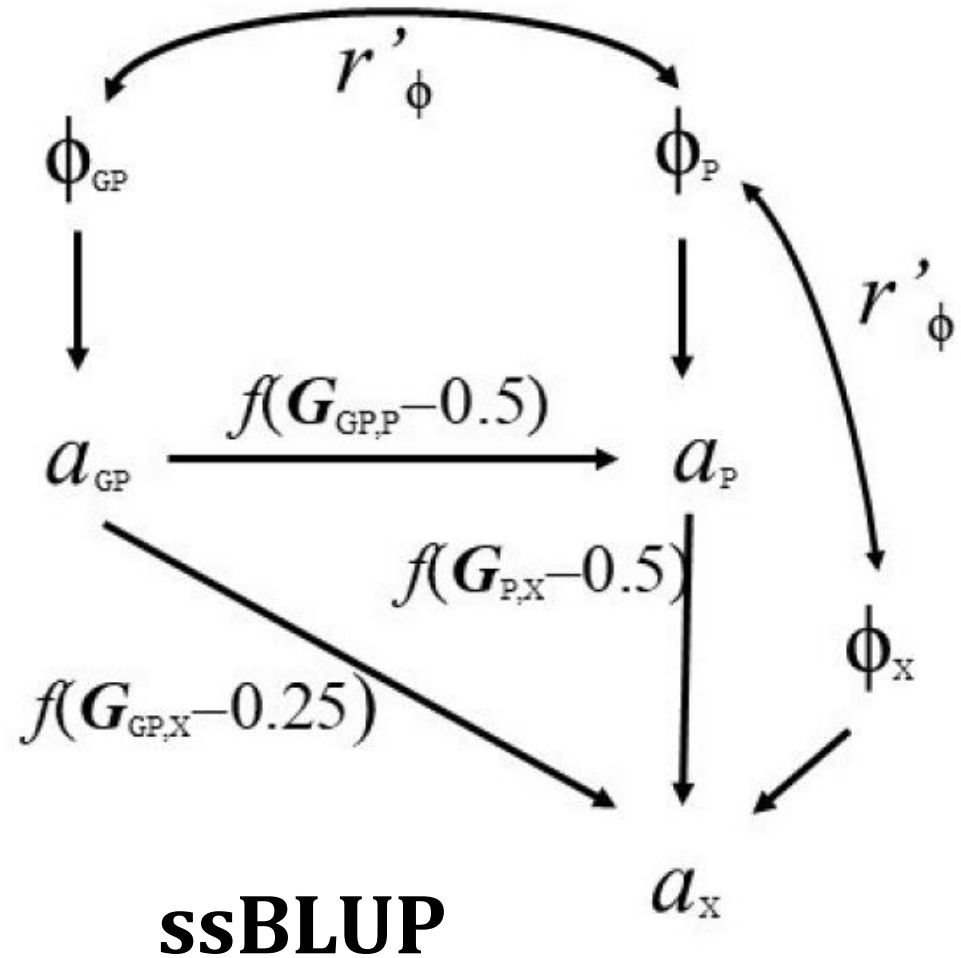
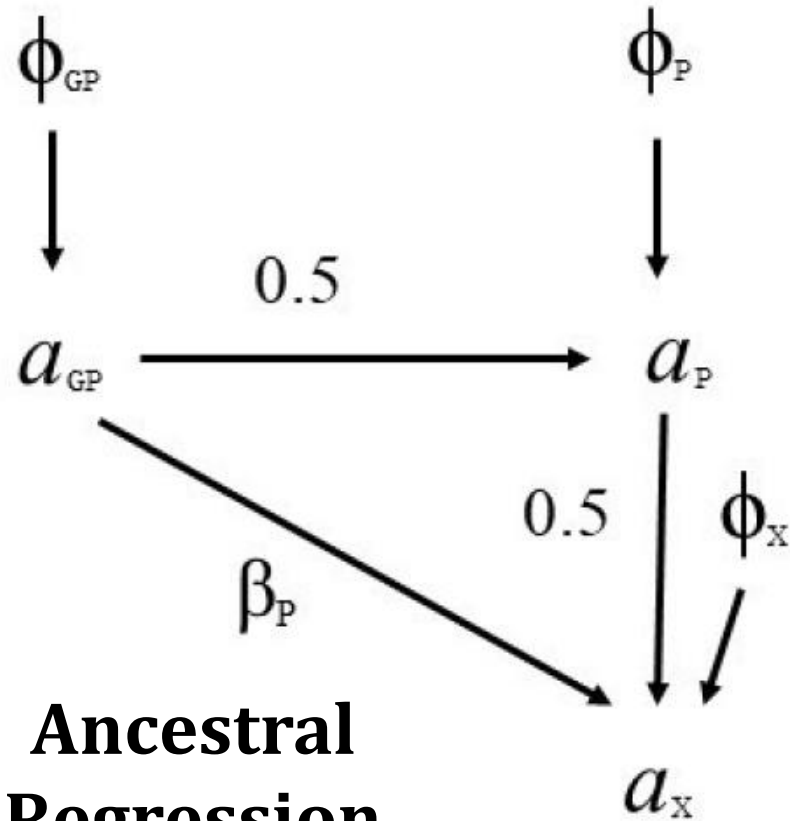
where the sufficient statistics are

$$\Sigma_{X,PGP} = 0.5 (\Sigma_{X,SS} - \Sigma_{X,DS}) \quad \Sigma_{X,MGP} = 0.5 (\Sigma_{X,SD} - \Sigma_{X,DD})$$

with corresponding variances

$$\Sigma_{PGP} = 0.25 (\Sigma_{SS} + \Sigma_{DS} - \Sigma_{SS,DS}) \quad \Sigma_{MGP} = 0.25 (\Sigma_{SD} + \Sigma_{DD} - \Sigma_{SD,DD})$$

Path coefficient view of the Ancestral Regression and ssBLUP



**Ancestral
Regression**

ssBLUP

Covariance matrix of BV under AR

$$\mathbf{B}_{x(i)} = \begin{bmatrix} 0 \dots \beta_s & -\beta_s & \dots 0 \dots \beta_D & -\beta_D & 0.5 & 0.5 \end{bmatrix} \Rightarrow \mathbf{B}$$

Autoregressive causal model

$$\mathbf{a} = \mathbf{B} \mathbf{a} + \phi \quad \Rightarrow \quad \Sigma = (\mathbf{I} - \mathbf{B})^{-1} \mathbf{D} (\mathbf{I} - \mathbf{B}')^{-1}$$

- The distribution of \mathbf{a} is MVN (proved elsewhere), such that Σ^{-1} from an autoregressive structure, follows an inverted Wishart, and the betas (in \mathbf{B}) are standard normal (Roverato, 2000).

Covariances between BV under AR

A = Ancestor, S = Sire, SS = Sire of Sire, DS = Dam of Sire,
D = Dam, SD = Sire of Dam, DD = Dam of Dam.

Covariance between an ancestor and X

$$\Sigma_{A,X} = 0.5(\Sigma_{A,S} + \Sigma_{A,D}) + \beta_S (\Sigma_{A,SS} - \Sigma_{A,DS}) + \beta_D (\Sigma_{A,SD} - \Sigma_{A,DD})$$

Covariances between two animals,
neither of whom is an ancestor of the other

$$\begin{bmatrix} \Sigma_A & \Sigma_A B_Y' \\ B_X \Sigma_A & B_X \Sigma_A B_Y' \end{bmatrix}$$

Inbreeding under AR

S = Sire , SS = Sire of Sire , DS = Dam of Sire ,
D = Dam, SD = Sire of Dam, DD = Dam of Dam.

$$F_{X(AR)} = 0.5 \left[\Sigma_{S,D} + \beta_S \left(\Sigma_{SS,D} - \Sigma_{DS,D} \right) + \beta_D \left(\Sigma_{SD,S} - \Sigma_{DD,S} \right) + \beta_S \beta_D \left(\Sigma_{SS,SD} - \Sigma_{SS,DD} - \Sigma_{DS,SD} + \Sigma_{DS,DD} \right) \right]$$

Distribution of sufficient statistics and parameters

- ❑ Jimenez' Thesis: Simulation to obtain the empirical distribution. Pig and beef cattle genotypes to validate the distributions obtained.
- ❑ $\text{Cov}(\text{Grandparent}, \text{Individual}) \Rightarrow \text{Beta}$.
- ❑ **Sufficient statistics:** $\sum_{X, \text{PGP}}$
 $= \text{Cov}(\text{Grandsire}, X) - \text{Cov}(\text{Grand-dam}, X) \Rightarrow \text{Normal}$
- ❑ $\beta_S, \beta_D \Rightarrow \text{Normal}$. $F_{AR} \Rightarrow \text{Exponential}$.

Estimating equations

$$\mathbf{L} \mathbf{B}_X' = \boldsymbol{\beta} = \begin{bmatrix} \beta_S \\ \beta_D \end{bmatrix}$$

$$\mathbf{L}' = \begin{bmatrix} 0.5 & 0 \\ -0.5 & 0 \\ 0 & 0.5 \\ 0 & -0.5 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}$$

If all grandparents and parents are genotyped, betas are the solution of the system with 2 equations:

$$\mathbf{L} \boldsymbol{\Sigma}_A \mathbf{L}' \boldsymbol{\beta} = \mathbf{L} \boldsymbol{\Sigma}_{AX}$$

$$\text{Var}(\phi_X) = \left[1 + F_{X(\text{AR})} - \mathbf{L} \mathbf{B}_X \boldsymbol{\Sigma}_A \mathbf{B}_X' \mathbf{L}' \right] \sigma_A^2$$

Gibbs sampling algorithm for β_S & β_D

1) Estimation of IBD relationships (data) with an algorithm that accounts for a) Pedigree, b) Inbreeding, c) LD: Han & Abney (2011, Genetic Epidemiology 35: 557-567).

2) Build up the reduced system:

$$\mathbf{L} \Sigma_A \mathbf{L}' \boldsymbol{\beta} = \mathbf{L} \Sigma_{AX}$$

3) Sampling β_S and β_D from

$$\beta_t \sim TN\left(\beta_{t-1}, (0.25)^2\right), \quad \beta_t \in [-0.25, 0.25]$$

Algorithm of Foulley (2000, GSE 32:631–635).

IBD genomic relationships under AR for Sultán when dams are not genotyped



Sultana Sumaj 566 Comodoro

$$\beta_S = 0.075 \pm 0.036$$

$$\beta_D = 0.086 \pm 0.036$$

$$F_{AR} = 0.037$$

rel_{AR}	SS	DS	SD	DD
Sultán	<u>0.347</u>	0.188	0.23	<u>0.27</u>
$F_{ped} = 0.031$	Sire	0.57	Dam	0.51

Missing data: work in progress

- ❑ Patterns of missing data are variable. Most problematic: **missing grand-dams**, because $\text{cov}(\text{Grand-dam}, X)$ is part of the sufficient statistics (SuSt). Fortunately, the SuSt are Normal.
- ❑ Estimate the missing covariance using available genotypes from relatives **no more than 2 meioses apart** (U = Uncles, grand-uncles). U are many in pigs, but not enough in cattle.
- ❑ Collaterals (FS): $\Sigma_{\text{FS}} = 0.5 + 2(\beta_{\text{SX}} \beta_{\text{SY}} + \beta_{\text{DX}} \beta_{\text{DY}})$
- ❑ HMM to estimate missing covariances through **IBD segment sharing** with different SNP panels, or even a small number of microsatellites used for paternity.